

**Minutes of the 71st Annual General Meeting
of the Roche Employees' Association (without an audience)
at 8.30 a.m. on 15 April 2021 in Building 1, Meeting Room 4.OG-N273**

Present: Adnan Tanglay, Gernot Scharf, Justine Frank, Robert Gray, Andreas Winkler, Albert Strittmatter (independent teller), Susanne Pommerening, Nicole Drexler

Present
via GoogleMeet: Alain Bindels, Reto Buholzer, Beat Handschin, Rudolf Vorburger, Dr Ralf Geertsen, Beat Hess, Dr Volker Herdtweck, Marie-Reine Herr, Sandra Leoni, Susanne Löffler, Frank Schwarb, Alf Willmann, Oliver Strobel, Michael Tilly, Stefanie Weiss

Chair: Adnan Tanglay, President

Minutes: Susanne Pommerening (minutes from audio recording)

The President, Mr Adnan Tanglay, welcomed those present and the site representatives, who were participating virtually, to this somewhat unconventional online General Meeting; he thanked them warmly for their attendance and interest in the event. He expressed his regret that the Meeting once again had to be held without an audience owing to the current coronavirus situation. Unfortunately, the celebrations that had been planned for the Association's 70th anniversary could not take place either, meaning that the talk by guest speaker Dr Severin Schwan, which had originally been scheduled for 2020, had been postponed a second time. The President, who has been in office since 2013, looked back with pride on the success and achievements of Switzerland's biggest employee association over the past 71 years and its commitment to upholding the interests of Roche employees throughout this time. Membership numbers have been rising for 20 years, totalling 8,635 in February 2021 and divided into 6,402 active employee members and 2,233 retirees.

Once again, active members voted electronically on the agenda items using Google Form, while pensioners cast their votes by post. 15.5% of active employees used this form of voting. The 447 ballots cast by retirees were counted by the two AVR assistants, Ms Nicole Drexler and Ms Susanne Pommerening, and double-checked by Mr Albert Strittmatter, the independent teller, after the Meeting. Following the President's explanations of the voting and election procedures, the results were announced as follows, the corresponding information having been published on the AVR website prior to the Meeting:

**Item 1:
Minutes of the 70th General Meeting on 13 October 2020**

The minutes were approved, with 1,271 votes for, 0 votes against and 27 abstentions. The President expressed his thanks.

**Item 3:
President's annual report for 2020**

The President's annual report for 2020 was approved by the active members, with 840 votes for, 3 votes against and 48 abstentions.

**Item 6:
Treasurer's and Auditors' Report**

The Treasurer's and Auditors' Reports were both approved with 1,268 votes for, 4 votes against and 61 abstentions.

**Item 6.1:
Setting the membership fee for 2022**

The active members approved the annual membership fee of CHF 20.00 for another year, with 869 votes for, 9 votes against and 13 abstentions.

Item 7:

Election of Supervisory Board members

Ms Alice Unglaub was re-elected, with 1,289 votes for, 6 votes against and 41 abstentions.

Mr Felix Gruber was re-elected, with 1,287 votes for, 7 votes against and 41 abstentions.

Ms Lara Ledermann was elected as the successor to Mr Mauro Visentin, with 1,266 votes for, 11 votes against and 57 abstentions.

Election of auditors

Mr Uwe Kieselbach was re-elected, with 1,282 votes for, 8 votes against and 45 abstentions.

Mr Stefan Dauer was elected as the successor to Mr Hugo Bloch, with 1,264 votes for, 10 votes against and 60 abstentions.

Dr Lars Tröndlin was elected as the successor to Dr Martin Studer, with 1,243 votes for, 11 votes against and 73 abstentions.

As a result, all candidates were either elected or re-appointed for a further period of office. The AVR President thanked everyone who was stepping down and congratulated everyone who had just been elected or re-elected.

Item 8:

Agenda item submitted by the deadline

As no agenda items had been submitted to the AVR Secretariat by the deadline of 26 February 2021, there was no voting for this agenda item.

The President stated that the electronic ballot for this year's Meeting was the first to give members the opportunity to add comments. 113 employees had made use of this option, and there were a large number of questions and suggestions that will be addressed in forthcoming newsletters. Some members simply used the comments function to express their thanks for being able to vote online. Mr Reto Buholzer, President of the AVR at Rotkreuz who was attending the Meeting virtually, asked to speak. He expressed his gratitude for the outstanding work done by the Committee and their dedication to employees' interests and passed on the good wishes of their colleagues from the AVR at Rotkreuz. There were no further requests to speak.

The President looked ahead to the 72nd General Meeting, which is due to take place on 17 March 2022, and at which Dr Severin Schwan is to be guest speaker. Dr Schwan has been Roche CEO since 2008, and one of the topics he will be covering is Roche's Strategy 2030.

The President concluded by extending special thanks to everyone who had been actively involved in the AVR, in particular the site representatives, auditors and associate members. He also thanked Vice President Gernot Scharf, Treasurer Andreas Winkler, associate members Robert Gray, Justine Frank and Ruedi Vorburger, and – last but by no means least – Susanne Pommerening and Nicole Drexler, the two AVR assistants, whose support is instrumental in furthering the Association's work. Since it was not possible to hold the traditional post-Meeting reception, the President explained that a virtual cocktails workshop for Committee members was being organised with Taverro, the invitations to which would be sent that day. The General Meeting ended at 9.30 a.m.

Once the Meeting had been closed, Mr Albert Strittmatter, the independent teller, conducted spot checks and confirmed the correctness of the data that had been recorded.



Adnan Tanglay
AVR President



Susanne Pommerening
AVR Assistant, Minutes Secretary